The Search Order for External Files 34th International Rexx Language Symposium Amsterdam, May 14-17

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May the 16th, 2023

The Search Order for External Files

Part I

Introduction: An anomaly

An anomaly in ooRexx

The search order for external files Directories to search Introducing same, curr and path The anomaly

Reasons for the anomaly: a peek at the source code of the ooRexx interpreter

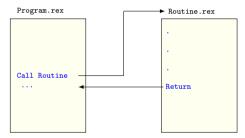
primitiveSearchName hasDirectory

How to handle the anomaly

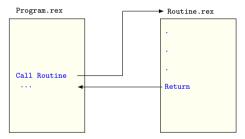
A bug, or a feature? Deepening our understanding of the problem

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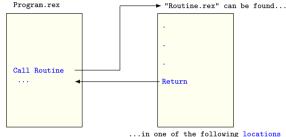


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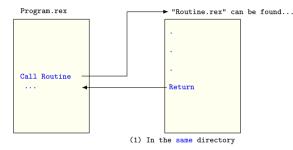
The search order for external files uses a list of directories and a list of extensions to locate the file.

▶ The searched directories are, in this order:



. In one of the following locations

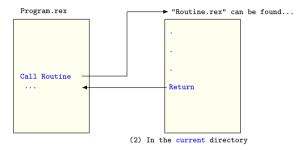
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(1) the same (or caller's) directory;

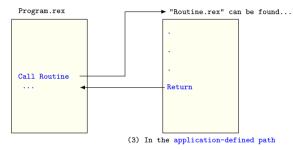
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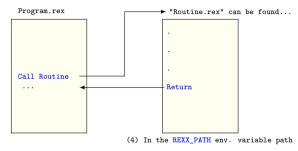
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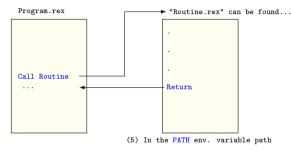
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- ▶ (4) the contents of the **REXX_PATH** environment variable;

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- (3) an optional, application-defined path;
- (4) the contents of the REXX_PATH environment variable;
- ▶ (5) the contents of the PATH environment variable.

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Same directory	Current directory	Appdefined path	REXX_PATH	PATH
A directory	A directory	A path	A Path	A path

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- Furthermore, let us assume that the same directory is indeed called same,
- and that the current directory is called curr.
- ▶ We will only have to examine three directories: same, curr and path.
- A Call Routine statement will search for Routine first in same, then in curr, and then in path. If Routine is not found, a syntax error will be raised.

Call Routine searches in same, curr, and then path.

Searched in	1st	2nd	3rd
Call Routine	same	curr	path

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Call "lib/Routine"	????	????	????

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Call "/Routine"	????	????	????

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Call "/Routine"	same	curr	path



Why is this happening? Let's take a look at the interpreter source code. In the Unix version of SysFileSystem::primitiveSearchName, we find:

```
1 // do the direct search if this is qualified enough;
2 // if not, try to locate it along the path
3 if ( hasDirectory(asIs) ?
4 checkCurrentFile(asIs, resolvedName) :
5 searchPath(asIs, path, resolvedName)
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If the boolean function hasDirectory returns true, the path search is bypassed.

And what are the tests implemented by hasDirectory, exactly? Well, the source reads as follows (name is the file name being examined):

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```
1 // hasDirectory() means we have enough absolute directory
2 // information at the beginning to bypass performing path searches.
3 return name[0] == '~' || name[0] == '/' ||
4 (name[0] == '.' && name[1] == '/') ||
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What is going on?

If we suppress the check for "../" and "./" in the Unix version of hasDirectory, the interpreter starts to work as expected and as documented. Additionally, all the tests in the test suite pass.¹

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- The Unix version of the interpreter constructs the filenames manually, i.e., it collates the directories with the supplied filename. Contrary to that, the Windows version resorts to the SearchPath Windows API.

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- The Unix version of the interpreter constructs the filenames manually, i.e., it collates the directories with the supplied filename. Contrary to that, the Windows version resorts to the SearchPath Windows API.
- But SearchPath does not work as expected when the filename starts with "..\" or ".\": it searches the *current* directory, but not the supplied path.

Introduction: Ways of handling the anomaly (1/2)

A possibility is to stop using SearchPath in the Windows version of the interpreter, and start constructing the filenames manually, like in the Unix version.

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- Another possibility is to decide that the interpreter works as intended, and then document this anomaly as a feature.
- This has two disadvantages: (1) it's asymmetrical (i.e., difficult to explain and remember), and (2) it represents opting for a limitation, instead of providing maximal freedom and letting the user limit herself.

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- ▶ To that purpose, we will implement and run a number of tests.

The Search Order for External Files

Part II

Preparing the tests

Basic assumptions

Children and parents Setting up files and directories Interpreters and operating systems

Types of tests

Common tests Drive-relative tests Special tests Format of a test results file Two bugs we found

To test other interpreters, we will continue to use the simplifying assumptions stated above: there will be three designated directories, same, curr, and path, and they will be checked in this order.

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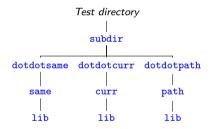
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- We will also assume that we can count on the *parent* directories of the three primary directories. We will call them dotdotsame, dotdotcurr, and dotdotpath; same will be a subdirectory of dotdotsame, and so on.

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- The three "dotdot" directories will be located in a subdirectory called subdir, and subdir will in turn be located in our test directory.

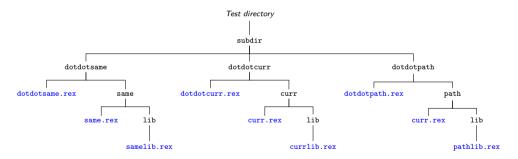
Preparing the tests: The directory structure

This is the directory structure we will be using. The subdir subdirectory is not really needed for the Rexx tests, but it will come in handy when testing other environments.



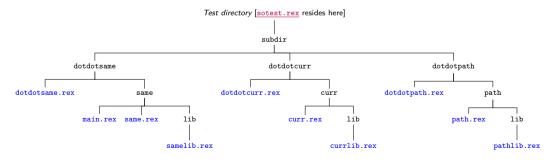
Preparing the tests: Populating directories

We will place a small Rexx program in all testable directories. Every program returns its own name. For example, same.rex returns the string "same".



Preparing the tests: Where to place the tests

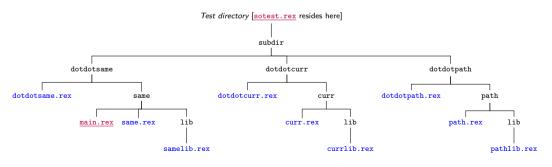
The test initiator program, <u>sotest.rex</u> will be located in the test directory.



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Preparing the tests: Where to place the tests

- ▶ The test initiator program, <u>sotest.rex</u> will be located in the test directory.
- After doing some housekeeping, like setting the current directory to subdir/dotdotcurr/curr, it will call the real test program, <u>main.rex</u>, located in the <u>same</u> directory.



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Operating system	

Operating systems

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Operating systems: OS/2

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▶ Operating systems: OS/2 , Ubuntu

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► Operating systems: OS/2 , Ubuntu and Windows.

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Interpreters

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- Common tests will apply to all interpreters and operating systems.
- Drive-relative tests will only apply to operating systems that use drive letters (i.e., to OS/2 and Windows).
- Special tests will allow us to compare the behavior of Rexx to other products and environments.





1. Simple calls: same.rex, curr.rex, and path.rex.





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- 2. Downward-relative calls: "lib/samelib.rex", etc.



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- ▶ In all cases we will call the same program with and without an extension.

Drive-relative tests



To run these tests, we will need to assign new drive letters to some of our directories. We can do that using the SUBST command under Windows, but under OS/2 we will have to assign drive letters by external means.

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- Letter-relative calls: calling "D:my.rex" is relative to the current directory of the D: drive (every drive has a current directory under Windows and OS/2).
- 3. Drive-absolute calls: using absolute filenames with different drive letters.





These tests allow us to widen our perspective by comparing the behavior of the various Rexx interpreters to the behavior of different products and environments.

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- 4. *Python*: We will test the behavior of the pathlib module.

Format of a test results file [fragments]

```
1
 2
     sotest rer -- A Search Order test suite
 3
 4
     Interpreter: REXX-ooRexx 5.0.0(MT) 64-bit 6.05 23 Dec 2022
 5
     Operating system: LINUX
11
     The following values have been set:
12
13
     Same directory: '/home/sam/sotest/subdir/dotdotsame/same'
14
     Current directory: '/home/sam/sotest/subdir/dotdotcurr/curr'
15
     Path
                   '/home/sam/sotest/subdir/dotdotpath/path'
19
     ************
20
    Pass.1 = .true: Pass.1.test = 'same'
21
    Pass.2 = .true: Pass.2.test = 'same.rex'
48
    Pass.29 = .true: Pass.29.test = 'lib/../../dotdotpath'
49
    Pass.30 = .true: Pass.30.test = 'lib/../../dotdotpath.rex'
    Pass.0 = 30
50
51
    Return Pass.
```

Test results are themselves programs. When called, they return a stem. This allows for easy comparisons, tabulations, etc.

Two bugs

Our tests have unveiled bugs in two different interpreters.

 The Windows version of ooRexx has a very subtle bug: when a filename has (1) no extension and (2) a dot in a directory (for example, "my.dir\file", or "..\file"), the interpreter erroneously thinks that it has an extension, and therefore no additional extensions are tested.²

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- 2. The REXXSAA interpreter does not search "in the current directory, with the current extension" and later "along environment PATH, with the current extension", as documented. We will refer to this bug as "the SAA bug".

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- 2. The REXXSAA interpreter does not search "in the current directory, with the current extension" and later "along environment PATH, with the current extension", as documented. We will refer to this bug as "the SAA bug".
- 3. The test results have been amended according to the bugs: the REXXSAA results have been patched as if the SAA bug did not exist, and the tests for ooRexx under Windows have been run again with the patched interpreter.

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The Search Order for External Files

Part III

Interpreting the results

Classifying and interpreting the results

Common tests: the equivalence classes Drive-relative tests Special tests

Finally, is there a Rexx way of doing things?

A quick perspective To continue learning

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(1) REXXSAA/Regina/CMD.EXE (2) Object Rexx (3) ooRexx/SearchPath

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- To the third class belong the ooRexx interpreter (with the hasExtension bug fixed) and the SearchPath Windows API.

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- There is no notion of the "same" directory. The only interpreter that uses it is ooRexx. The same concept is also used in other environments, for example in some C/C++ compilers.
- As soon as the supplied filename includes a separator character ("\" or "/"), only the current directory is checked, and all path searches are bypassed.
- This is the most restrictive behavior, which should not be surprising since REXXSAA and Regina are older than Object Rexx and ooRexx.

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Class 2: Object Rexx for OS/2

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- Similarly to REXXSAA and Regina Rexx, Object Rexx does not have a concept of the "same" directory. Files are only checked against the current directory and the path. This puts it on the restrictive side.
- On the other hand, Object Rexx does not have any limitation regarding filenames that start with ".\" or "..\": it checks them against the current directory and, if not found, against all the directories contained in the PATH environment variable. In this sense, it is the most advanced interpreter in the whole set.

Class 3: ooRexx and the SearchPath Windows API

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• The differentiating characteristics of this class are the following:

- There is a concept of the "same" directory. As we mentioned earlier, this concept, in the Rexx world, is unique to ooRexx, although it can be found in other environmens.
- Filenames are checked against the same, current and (extended) path directories, but the path (and same) searches are bypassed when the filename starts with ".\" or "..\". This is undocumented behavior.

When one writes "\path\to.file" in the Windows or OS/2 CLI, this is a relative filename. Relative to what? To the current drive, e.g., if the current directory is "E:\dir1\dir2", the drive part of this directory ("E:") is concatenated to the supplied filename, and one gets "E:\path\to.file".

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- What should it mean, in this case, that the "same" directory is checked before the current directory? It could well mean the following: if the same directory is "S:\dir3", we extract the drive part ("S:") and we concatenate it to the supplied filename, to get "S:\path\to.file", and similarly for all the directories in the different paths.

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- ► As a curiosity, the concept of sameness in Visual Studio is *recursive*.

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- ▶ ooRexx goes one step beyond (with the concept of the "same" directory), and then backpedals when handling the ".\" or "..\" cases.

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- And, in general, new knowledge for the RexxLA Architecture Review Board (ARB) to ponder.
- ▶ This might be very useful for new implementations and variants of Rexx.

The Search Order for External Files

Part IV

Modeling external search algorithms

The ontological question: What is an external search algorithm?

Locations and qualifiers Location-first and qualifier-first algorithms. Location exception clauses. Qualifier exception clauses. The composition algorithm

A system of classes to model external search algorithms

A proof-of concept prototype for a pluggable external search algorithms system

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- For example, all the algorithms manage a list of locations (e.g., directories) and a list of qualifiers (e.g., file extensions).
- [We use the term "location" instead of "directory" to be able to accommodate, in the future, operating systems where the fundamental file collection unit is not a directory (for example, VM minidisks), and similarly for "qualifiers", which will be *extensions* under Windows, for example but may be *filetypes* and *filemodes* under VM.]

Some algorithms are location-first (i.e., they search for all qualifiers in a given location, and then proceed to the next location), and some others are qualifier-first (they look for a qualifier in all the locations, and then they proceed with the next qualifier).

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- Most algorithms have a location exception clause: when the filename to search for has a certain form, not all locations are checked, but only a designated subset of those.
- [For example, Regina Rexx limits its search to the current directory as soon as it finds a separator like "/" in the filename, while the ooRexx location exception algorithm is much more nuanced (and partially undocumented).]

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- For example, Regina Rexx does not try to add an extension when the file has a known extension (that is, one of the predefined extensions, or one of the extensions supplied in the REGINA_SUFFIXES environment variable). For ooRexx the test is much simpler: if the filename contains a dot ("."), then no extensions are added.]

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- Every search algorithm defines a composition algorithm that combines a certain location, a certain filename and a certain qualifier (which may be empty) and produces a list of (hopefully absolute) file names.

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- Every search algorithm defines a composition algorithm that combines a certain location, a certain filename and a certain qualifier (which may be empty) and produces a list of (hopefully absolute) file names.
- [For example, the Unix-like version of ooRexx tries the supplied filename as-is, and then, if different, in lowercase.]

Modeling external search algorithms (1/3)

We have written a set of External Search classes to model the behavior of the different interpreters and environments. Instances are created by providing a class-specific set of parameters. Once initialized, they provide a search method that resolves a filename according to the specified external search algorithm.

```
/* Our base class will be an abstract class...
                                                                               */
:: Class ExternalSearch Public Abstract
/* ...with two direct subclasses. also abstract.
                                                                               */
::Class QualifierFirstExternalSearch Subclass ExternalSearch Public
:: Class LocationFirstExternalSearch Subclass ExternalSearch Public
/* ooRexx external search is extension- (qualifier-)first
                                                                               */
::Class ooRexxExternalSearch
                                    Subclass QualifierFirstExternalSearch Public
/* Regina Rexx external search is location- (directory-)first
                                                                               */
::Class ReginaRexxExternalSearch
                                    Subclass LocationFirstExternalSearch Public
mySearch = ReginaRexxExternalSearch~new /* This sequence is equivalent to
                                                                               */
myRoutine = mySearch~search(Routine)
                                         /* "Call Routine args", but
                                                                               */
.Routine~newFile(myRoutine)~call(args)
                                         /* with the Regina search order
                                                                               */
                                                                     ▲□▶ ▲□▶ ▲□▶ ▲□▶ □ の00
```

Modeling external search algorithms (2/3)

- External search objects can be instantiated with their default values, which are those documented in the respective manuals
- 1 mySearch = ooRexxExternalSearch~new

/* Default values */

Modeling external search algorithms (2/3)

- External search objects can be instantiated with their default values, which are those documented in the respective manuals
- 1 mySearch = ooRexxExternalSearch~new

```
/* Default values */
```

Or they can be fully customized at object creation time..

```
mySearch = ooRexxExternalSearch~new(
                                                               - /* Directories.
2
                                                                                   */
3
             "same=<same directory>".
             "current=<current directory>",
4
5
             "application=<application-defined path>",
                                                              - /* paths. and
                                                                                    */
             "rexx_path=<path>",
6
7
             "path=<path>",
        ),
8
                                                               - /* extensions
                                                                                   */
Q
             "same=<same extension>",
10
             "application=<application-defined extensions>", -
11
12
13
```

Modeling external search algorithms (3/3)

Every search algorithm class has to be instantiated with its own set of class-specific parameters.

```
mySearch = ReginaRexxExternalSearch~new(
1
2
             "regina_macros=<path>",
3
             "current=<current directory>",
4
             "path=<path>".
5
         ),
6
7
             "same=<same extension>",
8
             "regina suffixes=<list>".
9
10
11
```

```
-
-
/* Paths, */
- /* directories */
- /* and */
-
- /* extensions */
```

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The composition operation. Advanced modeling

The compose method of ExternalSearch takes as arguments a directory, a filename and a possibly empty extension, and attempts to compose them into a (hopefully absolute) filename. This operation is not so simple as it may seem at first glance, because it has to consider the cases where the filename may itself be absolute, the directory part can be relative, and so on.

The composition operation. Advanced modeling

- The compose method of ExternalSearch takes as arguments a directory, a filename and a possibly empty extension, and attempts to compose them into a (hopefully absolute) filename. This operation is not so simple as it may seem at first glance, because it has to consider the cases where the filename may itself be absolute, the directory part can be relative, and so on.
- ExternalSearch has a settable boolean attribute called driveRelative, with a default value of .false. When driveRelative is .true, the composition operation is slightly modified, so that drive-relative filenames are resolved like in the pathlib Python module. This is experimental at the moment.

Class ooRexxEnhancedExternalSearch

Class ooRexxEnhancedExternalSearch fixes the anomaly by removing the checks for ".\" and "..\", and by additionally setting driveRelative to .true. All 48 tests pass when we use this enhanced external search algorithm.

Pluggable external search algorithms: a prototype

Using the security manager feature of ooRexx, we have devised an experimental system of pluggable external search order algorithms. This is implemented, as a proof-of-concept, by the "[]=" class method of the ExternalSearch class. The following code fragment illustrates the technique.

```
/* To be able to plug a security manager, we need a Routine object
                                                                                */
1
    routine = .Routine~newFile("/path/to/my/program.rex")
 2
 3
    /* Routine will be called, but with our enhanced search order in effect
4
                                                                                */
    .ExternalSearch[routine] = .ooRexxEnhancedExternalSearch
 5
6
    /* Now call our routine with the appropriate parameters. Every CALL
 7
                                                                                */
8
    /* in "program.rex" will be resolved according to the Rexx Enhanced
                                                                                */
    /* External Search algorithm.
                                                                                */
9
10
    routine~call(parameters)
11
    ::Requires ExternalSearch
12
```

Pluggable external search algorithms: a prototype

This technique allows to run the sotest.rex test program against a number of different external search algorithms, without having to switch interpreters or operating systems.

```
program = "D:\Dropbox\ooRexx\sotest\sotest.rex" /* Maybe
1
                                                                                 */
  routine = .Routine~newFile(program)
\mathbf{2}
   /* Install the Regina search order using the ooRexx security manager
3
                                                                                */
    .ExternalSearch[routine] = .ReginaRexxExternalSearch
4
   /* All programs called from sotest.rex will be searched using the
5
                                                                                */
   /* Regina search order algorithm
                                                                                */
6
   routine~call()
7
8
```

9 ::Requires ExternalSearch

The Search Order for External Files

Part V Appendices

Further work Acknowledgements References Questions? Thanks!

Further work

> ...

- Can our algorithms be adapted to the the z/VM world? To z/OS? To z/VSE?...
- ▶ Improve the security manager integration (but see bug #1886).



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References

- Most of the material covered here is addressed in more detail in the companion document, The Search Order for External Files, https://www.epbcn.com/pdf/josep-maria-blasco/ 2023-05-16-The-search-order-for-external-rexx-files.pdf.
- The test programs, result sets, etc, can be downloaded from the https://github.com/RexxLA/rexx-repository/tree/master/ARB/ standards/work-in-progress/search-order GitHub directory and from

http://www.epbcn.com/pdf/josep-maria-blasco/2023-05-16/.

Questions?

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